

General information about company

| | |
|--|---------------------------------------|
| Scrip code | 508969 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE673M01029 |
| Name of the company | SULABH ENGINEERS AND SERVICES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2020 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|-----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 9 | 25683437 | | | 25683437 | 25.56 | 25683437 | | 25683437 | 25.56 |
| (B) | Public | 1227 | 74791563 | | | 74791563 | 74.44 | 74791563 | | 74791563 | 74.44 |
| (C) | Non Promoter- Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 1236 | 100475000 | | | 100475000 | 100 | 100475000 | | 100475000 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding | No. Of Shares Underlying Outstanding convertible securities | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | Number of Shares pledged or otherwise | Number of equity shares held in dematerialized form (XIV) |
|--------------|------------------------------|---|--------------------------------------|---|---|----------------------------------|---------------------------------------|---|
|--------------|------------------------------|---|--------------------------------------|---|---|----------------------------------|---------------------------------------|---|

| | | | Warrants (Xi) | and No. Of Warrants (Xi) (a) | | encumbered (XIII) | | | | |
|------|--------------------------------|--|---------------|------------------------------|-------|-------------------|---------------------------------|---------|---------------------------------|-----------|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 25.56 | | | | | 25683437 |
| (B) | Public | | | | 74.44 | | | | | 74487363 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 100170800 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---------|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 8 | 22683437 | | | 22683437 | 22.58 | 22683437 | | 22683437 | 22.58 |
| (d) | Any Other (specify) | 1 | 3000000 | | | 3000000 | 2.99 | 3000000 | | 3000000 | 2.99 |
| | Sub-Total (A)(1) | 9 | 25683437 | | | 25683437 | 25.56 | 25683437 | | 25683437 | 25.56 |
| (2) | Foreign | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | 9 | 25683437 | | | 25683437 | 25.56 | 25683437 | | 25683437 | 25.56 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 824 | 3212986 | | | 3212986 | 3.2 | 3212986 | | 3212986 | 3.2 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 46 | 43402135 | | | 43402135 | 43.2 | 43402135 | | 43402135 | 43.2 |
| (b) | NBFCs registered with RBI | 1 | 10000 | | | 10000 | 0.01 | 10000 | | 10000 | 0.01 |
| (e) | Any Other (specify) | 356 | 28166442 | | | 28166442 | 28.03 | 28166442 | | 28166442 | 28.03 |
| | Sub-Total (B)(3) | 1227 | 74791563 | | | 74791563 | 74.44 | 74791563 | | 74791563 | 74.44 |
| | Total Public Shareholding | 1227 | 74791563 | | | 74791563 | 74.44 | 74791563 | | 74791563 | 74.44 |

| | | | | | | | | | | |
|--------------------------|---|------|-----------|--|--|-----------|-----|--|-----------|-----------|
| (B)=(B)(1)+(B)(2)+(B)(3) | | | | | | | | | | |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | |
| Total (A+B+C2) | | 1236 | 100475000 | | | 100475000 | 100 | | 100475000 | 100475000 |
| Total (A+B+C) | | 1236 | 100475000 | | | 100475000 | 100 | | 100475000 | 100475000 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|-----------|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
| (1) | Indian | | | | | | | | | |
| (a) | | | | 22.58 | | | | | | 22683437 |
| (d) | | | | 2.99 | | | | | | 3000000 |
| Sub-Total (A)(1) | | | | 25.56 | | | | | | 25683437 |
| (2) | Foreign | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 25.56 | | | | | | 25683437 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | |
| (1) | Institutions | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | |
| (a(i)) | | | | 3.2 | | | | | | 3212986 |
| (a(ii)) | | | | 43.2 | | | | | | 43402135 |
| (b) | | | | 0.01 | | | | | | 10000 |
| (e) | | | | 28.03 | | | | | | 27862242 |
| Sub-Total (B)(3) | | | | 74.44 | | | | | | 74487363 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 74.44 | | | | | | 74487363 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | | 100170800 |
| Total (A+B+C) | | | | 100 | | | | | | 100170800 |
| Disclosure of notes on shareholding pattern for company remarks explanatory | | | | | | | | | Textual Information(1) | |

| Text Block | |
|------------------------|--|
| Textual Information(0) | 1- 19789 Shares pledged or otherwise encumbered in individual public shareholding. 2- 86500 Shares pledged or otherwise encumbered in Bodies Corporate Shareholding. |

| Individuals/Hindu undivided Family | | | | | | | |
|------------------------------------|---------------|-----------------|-----------------------|------------------|----------------|--------------------------------|--------------|
| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | RUCHI AGARWAL | SANDHYA AGARWAL | SANTOSH KUMAR AGARWAL | KRISHANA AGARWAL | MANISH AGARWAL | SANTOSH KUMAR AGARWAL & SONS . | DEEPA MITTAL |

| | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|
| PAN (II) | ADHPJ2647J | ACVPA1791R | ABKPA7972Q | ACFPA8079A | ABBPA2326D | AADHS9036D | AGZPM0492K |
| No. of fully paid up equity shares held (IV) | 5816000 | 5375000 | 4644637 | 3180000 | 1675800 | 1000000 | 699500 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 5816000 | 5375000 | 4644637 | 3180000 | 1675800 | 1000000 | 699500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 5.79 | 5.35 | 4.62 | 3.16 | 1.67 | 1 | 0.7 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 5816000 | 5375000 | 4644637 | 3180000 | 1675800 | 1000000 | 699500 |
| Class eg:y | | | | | | | |
| Total | 5816000 | 5375000 | 4644637 | 3180000 | 1675800 | 1000000 | 699500 |
| Total as a % of Total Voting rights | 5.79 | 5.35 | 4.62 | 3.16 | 1.67 | 1 | 0.7 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 5.79 | 5.35 | 4.62 | 3.16 | 1.67 | 1 | 0.7 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 5816000 | 5375000 | 4644637 | 3180000 | 1675800 | 1000000 | 699500 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Shareholder type | Promoter | Promoter | Promoter | Promoter | Promoter | Promoter | Promoter |

Individuals/Hindu undivided Family

| | | |
|--|---------------------|---------------------------------------|
| Serial No. | 8 | |
| Name of the Shareholders (I) | MANOJ KUMAR AGARWAL | Click here to go back |
| PAN (II) | ACFPA8077Q | Total |
| No. of fully paid up equity shares held (IV) | 292500 | 22683437 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 292500 | 22683437 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.29 | 22.58 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg:X | 292500 | 22683437 |
| Class eg:y | | |
| Total | 292500 | 22683437 |
| Total as a % of Total Voting rights | 0.29 | 22.58 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.29 | 22.58 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 292500 | 22683437 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter | |

Any Other (specify)

| | | |
|--|---------------------------|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | GOVIND MERCANTILE PVT.LTD | |
| PAN (II) | AABCG9548H | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 3000000 | 3000000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3000000 | 3000000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.99 | 2.99 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 3000000 | 3000000 |
| Class eg:y | | |
| Total | 3000000 | 3000000 |
| Total as a % of Total Voting rights | 2.99 | 2.99 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.99 | 2.99 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity | 3000000 | 3000000 |

| | | |
|--|----------------|--|
| shares held in dematerialized form (XIV) | | |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter Group | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | | |
|--|--------------------|----------------------|-------------|-------------------|------------|------------------|-------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Name of the Shareholders (I) | SOM PRAKASH GOENKA | SURENDRA KUMAR GUPTA | RAJNI GUPTA | MADHU RANI GOENKA | RAJ KUMAR | ANAND MAHESHWARI | SUNITA MAHESHWARI |
| PAN (II) | AAQPG0238C | AAQPG0240E | AATPG2292F | AAQPG0239D | AHAPK7548Q | ADTPM7619P | AAWPM1512D |
| No. of fully paid up equity shares held (IV) | 3885717 | 3232121 | 2750000 | 2750000 | 1755969 | 1250000 | 1250000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3885717 | 3232121 | 2750000 | 2750000 | 1755969 | 1250000 | 1250000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.87 | 3.22 | 2.74 | 2.74 | 1.75 | 1.24 | 1.24 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 3885717 | 3232121 | 2750000 | 2750000 | 1755969 | 1250000 | 1250000 |
| Class eg:y | | | | | | | |
| Total | 3885717 | 3232121 | 2750000 | 2750000 | 1755969 | 1250000 | 1250000 |
| Total as a % of Total Voting rights | 3.87 | 3.22 | 2.74 | 2.74 | 1.75 | 1.24 | 1.24 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.87 | 3.22 | 2.74 | 2.74 | 1.75 | 1.24 | 1.24 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in | 3885717 | 3232121 | 2750000 | 2750000 | 1755969 | 1250000 | 1250000 |

| | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|
| dematerialized form (XIV) | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Serial No. | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
|--|-------------|------------------|-------------------|--------------|--------------|---------------|------------------------|
| Name of the Shareholders (I) | ARUN CHAWLA | MAHAK MAHESHWARI | MANISH MAHESHWARI | SUNIL KAPOOR | SAPNA KAPOOR | SANJAY KAPOOR | ASHOK KUMAR MAHESHWARI |
| PAN (II) | ADXPC1392F | AKKPM2866N | AGRPM7399D | AGCPK3755C | ACQPK3632A | AGCPK3754D | AARPM1903H |
| No. of fully paid up equity shares held (IV) | 1202800 | 1200000 | 1141570 | 1126850 | 1108500 | 1105159 | 1033220 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1202800 | 1200000 | 1141570 | 1126850 | 1108500 | 1105159 | 1033220 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.2 | 1.19 | 1.14 | 1.12 | 1.1 | 1.1 | 1.03 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 1202800 | 1200000 | 1141570 | 1126850 | 1108500 | 1105159 | 1033220 |
| Class eg:y | | | | | | | |
| Total | 1202800 | 1200000 | 1141570 | 1126850 | 1108500 | 1105159 | 1033220 |
| Total as a % of Total Voting rights | 1.2 | 1.19 | 1.14 | 1.12 | 1.1 | 1.1 | 1.03 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.2 | 1.19 | 1.14 | 1.12 | 1.1 | 1.1 | 1.03 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1202800 | 1200000 | 1141570 | 1126850 | 1108500 | 1105159 | 1033220 |

| Reason for not providing PAN | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | |
|---|--|--|--|
|---|--|--|--|

| | | | |
|--|---------------------|--------------|---------------------------------------|
| Serial No. | 15 | 16 | |
| Name of the Shareholders (I) | NAVEEN KUMAR KURELE | SEEMA KAPOOR | Click here to go back |
| PAN (II) | AGUPK5822A | AERPK1243A | Total |
| No. of fully paid up equity shares held (IV) | 1026250 | 1019969 | 26838125 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1026250 | 1019969 | 26838125 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.02 | 1.02 | 26.71 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 1026250 | 1019969 | 26838125 |
| Class eg:y | | | |
| Total | 1026250 | 1019969 | 26838125 |
| Total as a % of Total Voting rights | 1.02 | 1.02 | 26.71 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.02 | 1.02 | 26.71 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 1026250 | 1019969 | 26838125 |
| Reason for not providing PAN | | | |
| Reason for not | | | |

providing PAN

Any Other (specify)

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|--|------------------|--|--|--|---------------------------|----------|--|
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Non-Resident Indian (NRI) | HUF | HUF |
| Category / More than 1 percentage | Category | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category | Category | More than 1 percentage of shareholding |
| Name of the Shareholders (I) | | UNICON TIE UP PRIVATE LIMITED | SPICE MERCHANTS PVT. LTD. | PANEM STEELS PRIVATE LIMITED | | | NARENDER KUMAR HUF |
| PAN (II) | | AABCU1005D | AAPCS7492G | AAACP8592R | | | AAEHN5960N |
| No. of the Shareholders (I) | 309 | 1 | 1 | 1 | 3 | 34 | 1 |
| No. of fully paid up equity shares held (IV) | 23327080 | 2986300 | 1448794 | 1075013 | 12411 | 4801220 | 1187449 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 23327080 | 2986300 | 1448794 | 1075013 | 12411 | 4801220 | 1187449 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 23.22 | 2.97 | 1.44 | 1.07 | 0.01 | 4.78 | 1.18 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 23327080 | 2986300 | 1448794 | 1075013 | 12411 | 4801220 | 1187449 |
| Class eg:y | | | | | | | |
| Total | 23327080 | 2986300 | 1448794 | 1075013 | 12411 | 4801220 | 1187449 |
| Total as a % of Total Voting rights | 23.22 | 2.97 | 1.44 | 1.07 | 0.01 | 4.78 | 1.18 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 23.22 | 2.97 | 1.44 | 1.07 | 0.01 | 4.78 | 1.18 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity | 23022880 | 2986300 | 1448794 | 1075013 | 12411 | 4801220 | 1187449 |

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| shares held in dematerialized form (XIV) | | | | | | | |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

Any Other (specify)

| Serial No. | 8 | 9 | 10 | 11 | 12 | |
|--|--|--|--|------------------|----------|---------------------------------------|
| Category | HUF | HUF | HUF | Clearing Members | IEPF | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | Category | Category | |
| Name of the Shareholders (I) | SANJAY KUMAR HUF | ARUN KUMAR HUF . | MAHABIR PERSHAD HUF | | | Click here to go back |
| PAN (II) | AAQHS9987L | AAIHA4621B | AAEHM5783L | | | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 9 | 1 | 356 |
| No. of fully paid up equity shares held (IV) | 1163290 | 1087984 | 1065060 | 22731 | 3000 | 28166442 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1163290 | 1087984 | 1065060 | 22731 | 3000 | 28166442 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.16 | 1.08 | 1.06 | 0.02 | 0 | 28.03 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 1163290 | 1087984 | 1065060 | 22731 | 3000 | 28166442 |
| Class eg:y | | | | | | |
| Total | 1163290 | 1087984 | 1065060 | 22731 | 3000 | 28166442 |
| Total as a % of Total Voting rights | 1.16 | 1.08 | 1.06 | 0.02 | 0 | 28.03 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.16 | 1.08 | 1.06 | 0.02 | 0 | 28.03 |
| Number of Locked in shares (XII) | | | | | | |
| | | | | | | |

| | | | | | | |
|---|---------|---------|---------|-------|------|----------|
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1163290 | 1087984 | 1065060 | 22731 | 3000 | 27862242 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence